AUG 2 4 2005 Sertificate of Mailing

TRU

I hereby control that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Date: August 22, 2005

<u> Ullian Cowan</u> Allison Cowan

Docket Number 36856.1212

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Minefumi OUCHI and

Norihiko NAKAHASHI

Serial No.: 10/774,632

Filed: February 10, 2004

Title: SURFACE ACOUSTIC WAVE DEVICE AND COMMUNICATION

APPARATUS USING THE SAME

Art Unit: 2817

Examiner: B. Summons

## **INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, submitted herewith is a copy of an Official Communication issued in a corresponding European patent application and copies of three (3) prior art references cited therein. For the Examiner's convenience, we have enclosed a completed Form PTO-1449 listing all of the references cited in the European search report. Applicants do not submit herewith a copy of the U.S. patent reference as this is no longer a U.S. Patent Office requirement. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

U.S. Serial No. 10/774,632 August 22, 2005 Page 2 of 2

I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this statement, and that this is the first citation of these prior art references by a foreign patent office in a counterpart foreign patent application. Accordingly, no fee is necessary for the filing of this statement. Should the Commissioner determine otherwise, the Commissioner is authorized to charge Deposit Account No. 50-1353 for any fee shortages, including the petition fee under 37 C.F.R. § 1.17(p).

Applicants respectfully request that the cited references be made of record in the subject application.

Respectfully submitted,

Date: August 22, 2005

Attorneys for Applicants

Joseph R. Keating Registration No. 37,368

Christopher A. Bennett Registration No. 46,710

Peter M. Medley Registration No. 56,125

KEATING & BENNETT LLP 10400 Eaton Place, Suite 312 Fairfax, VA 22030 Phone: (703) 385-5200 Fax" (703) 385-5200 Substitute for form 1449/PTO TRADEMAN

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)
Sheet 1 of

Complete if Known			
Application Number	10/774,632		
Filing Date	February 10, 2004	,	
First Named Inventor	Minefumi OUCHI		
Art Unit	2817		
Examiner Name	B. Summons		
Attorney Docket Number	36856.1212		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number Number-Kind Code <sup>2(if known)</sup>	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	1	2002/0021195	02-2002	Takamine		
	-					

		FO	REIGN PATEN	T DOCUMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number-Kind Code <sup>5</sup> (if known)	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Le
	2	EP 1 168 614	01-2002	EPO		
-	3	EP 1 251 638	10-2002	EPO		
	4	EP 1 249 934	10-2002	EPO		
						-
-						
						-
						<del>                                     </del>

Examiner Date Signature Considered

<sup>\*</sup>Examiner: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document by the two-letter code (WIPO Strandard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Abstract is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.



Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2 <b>of</b> 2	

Complete if Known			
Application Number	10/774,632	-	
Filing Date	February 10, 2004		
First Named Inventor	Minefumi OUCHI		
Art Unit	2817		
Examiner Name	B. Summons		
Attorney Docket	36856.1212		
Number	1		

NON PATENT LITERATURE DOCUMENTS				
Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title		
Initials	No.1	Of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
	5	Official Communication issued in the corresponding European Application No.		
		04290950.7; dated July 27, 2005.		
		<u> </u>		
	ļ			
		;		
	-			
			-	
			İ	
	ļ			

Examiner	Date	
Signature	Considered	

<sup>\*</sup>Examiner: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.